## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant** 

Kenneth Lee Stratton et al.

Serial No.

Unknown

Attny No.

68,143-024

**Filed** 

Herewith

Title

SITE PROFILE BASED CONTROL SYSTEM AND METHOD FOR

CONTROLLING AN EARTHMOVING IMPLEMENT

CERTIFICATE OF EXPRESS MAILING

I hereby certify that the enclosed Provisional Patent Application, fee and related documents are being deposited with the United States Postal Service as Express Mail, postage prepaid, in an envelope as Express Mail Post Office to Addressee, Mailing Label No. EL 997488875 US and addressed to Mail Stop Provisional Application - Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on November 4, 2003.

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## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 AND 1.98

Sir:

Pursuant to Rule 97 and in compliance with Rule 56, Applicant hereby makes of record the items listed on the attached Form PTO-1449. Copies of the listed items are <u>not</u> enclosed.

None of these items discloses the inventive concepts claimed in the subject application.

Should there be a fee associated with this document, please charge it to Deposit Account No. 08-2789.

Respectfully submitted,

HOWARD & HOWARD ATTORNEYS, P.C.

November 4, 2003

James R. Yee, #34,460

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Enclosures: PTO-1449

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Substitut	e for form 1449A	/PTO			Complete if Known			
	k		Nag - Artis	Application Number	Unknown			
INFO	PRMATIC	N DIS	CLOSURE	Filing Date	Herewith			
STA	TEMENT	BY A	PPLICANT	First Named Inventor	Kenneth Lee Stratton et al.			
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(use as many sheets as necessary)				Examiner Name	Unknown			
Sheet	1	of	3	Attorney Docket Number	68,143-024			

				U.S. PATENT DOCUM	MENTS	
Examiner Cite No.1		U.S. Patent Document  Kind Code <sup>2</sup> (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear
-	1	6,364,028	B1	Ferrell et al.	04-02-2002	
	2	6,336,077	B1	Boucher	01-01-2002	
	.3	6,282,477	B1	Gudat et al.	08-28-2001	
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	0.1-	For	eign Patent Do	ocument	Name of Patentee	Date of Publication of	Pages, Columns, Lines, Where Relevant	
Examiner • Initials*	Cite No.1	Office <sup>3</sup>	Number⁴	Kind Code <sup>5</sup> ( <i>if known</i> )	or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	Т <sub>6</sub>
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark hee if English language Translation is attached.

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Substitut	te for form 1449A/P	то		Complete if Known			
				Application Number	Unknown		
INFO	DRMATION	v dis	CLOSURE	Filing Date	Herewith		
STA	TEMENT B	<b>3Y A</b>	PPLICANT	First Named Inventor	Kenneth Lee Stratton et al.		
		-		Group Art Unit	Unknown		
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Sheet	2	of	3	Attorney Docket Number	68,143-024		

			U.S. PATENT DOCUM	MENTS	
Examiner Initials *	Cite No.1	U.S. Patent Document  Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	22	5,850,341	Fournier et al.	12-15-1998	
	23	5,815,826	Henderson et al.	09-29-1998	
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Examiner Cite No.1	Cita	For	eign Patent De	ocument	Name of Patentee	Date of Publication of	Pages, Columns, Lines, Where Relevant	
	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	Т <sub>6</sub>	
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				Application Number	Unknown			
INFO	DRMATION	DIS	CLOSURE	Filing Date	Herewith			
STA	TEMENT B	ΥΑ	PPLICANT	First Named Inventor	Kenneth Lee Stratton et al.			
				Group Art Unit	Unknown			
	(use as many she	ets as	necessary)	Examiner Name	Unknown			
Sheet	3	of	3	Attorney Docket Number	68,143-024			

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	0.11	For	eign Patent De	ocument	Name of Patentee	Date of Publication of	Pages, Columns, Lines,	
Examiner Initials*	Cite No. <sup>1</sup>	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> ( <i>if known</i> )	or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	Т <sub>6</sub>
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